WTC1-96-P

W-4128-YAMAICHI INTERNATIONAL((AMERICA)
INC.-2WTC-98TH FL-INSTALLATION OF
NEW OFFICES

REVIEW STATUS

| Sub-mission# | Response To # | Coordi-nator | TCRU Memo | Approval | Facility Rider | Outstanding Comments | Remarks |
|---|---|---|---|---|---|---|---|
| 1 (8-3-88) | — | RAW | 8-22-88 | 8-22-88 | | 8 | Subject to |

**THE PORT AUTHORITY**

World Trade & Economic Development Department

September 12, 1988

THE PORT AUTHORITY OF N.Y. & N.J.
TENANT CONSTRUCTION REVIEW UNIT
RECEIVED

Mr. S. Hotta
Yamaichi International (America) Inc.
Two World Trade Center, 96th Floor
New York, New York 10048

ALTERATION APPLICATION

RE: THE WORLD TRADE CENTER - YAMAICHI INTERNATIONAL (AMERICA) INC. - TRADE CENTER - WT 1252A-B-96 - CONTRACT WTC 578.972 - TENANT ALTERATION APPLICATION NO. 4128 - APPROVAL

Dear Mr. Hotta:

Attached please find a copy of the above listed Tenant Construction Application. This application has been approved subject, in particular, to the conditions listed in Rider "C".

Before work can commence, your contractor must notify the following persons 72 hours in advance of start of work regarding work scheduling and compliance with specific Port Authority instructions, regulations and procedures for Contract work on the premises. Please contact:

Mr. Anthony Vaccaro, Manager
WTC Construction
1 World Trade Center - Rm. 3861
New York, New York 10048

Tel. (212) 466-3237

Mr. August Preschle, Manager
WTC Operations Division
1 World Trade Center - Suite 63N
New York, New York 10048

Tel. (212) 313-2227

Please note that any design changes to the scope of work under this application must be filed with the Port Authority for review and approval. You are also advised that only Contract Documents approved by this office are to be released in the field for construction.

Sincerely,

Luciano Sanchez
WTC Planning Division

JRP/lb

cc: Messrs. J. Chennisi, J. Grismer, J. Picone, A. Preschle (w/att.), A. Vaccaro (w/att.); S. Joyner (BW&B) (w/att.)

bcc: Messrs. F. Boyce, T. Cancelliere (w/att.), P. Cooper (w/att.), A. Coras, J. Davison (w/att.), R. Linn, P. Marchese, H. Nagel (w/att.), B. Newman, G. Wenger
Msdmes. Office of the Secretary, M. Singleton (both w/att.)

PA 831
8-73

WTC-578-972
F.F. # 1094

**THE PORT AUTHORITY OF NY & NJ**
One World Trade Center New York, N Y 10048

| For Port Authority use only | |
|---|---|
| FACILITY: WTC | APP. NO.: 4128 |
| DATE: 8/30/88 | APPLICANTS NAME: Yamaichi International |

**TENANT CONSTRUCTION OR ALTERATION APPLICATION**
(See Rider "F" for revisions to par. listed below and par. 5)

*APPLICANT MUST READ THE TERMS AND CONDITIONS PRINTED ON THE REVERSE HEREOF*

ALSO SEE ATTACHED RIDER "F"

**PART ONE: *Information to be furnished by Applicant (Refer to your lease or permit for required information)***

Permission is hereby requested to perform the following described work on the space occupied by the Applicant

| AT (FACILITY) | PURSUANT TO (LEASE, SPACE PERMIT) NO. | LOCATION (BUILDING # OR AREA) OF SPACE TO BE ALTERED |
|---|---|---|
| WTC | WT-1252 | Two World Trade Center, 98th Floor |

DESCRIPTION OF WORK AND REASON

Installation of new offices on 98th Floor

| ESTIMATED COST OF WORK | ESTIMATED TIME TO COMPLETE (DAYS) | STARTING DATE | COMPLETION DATE |
|---|---|---|---|
| $ 1,500,000.00 | 90 | 9/1/88 | 12/1/88 |

Plans: Prints of each drawing must be submitted with copies of application. Include floor plan and show area affected by proposed work (size 8½" x 11" or larger).

| TITLE OF DRAWING | DRAWING NO. | DATED |
|---|---|---|
| See Attached Drawing List | --- | --- |

| NAME & ADDRESS OF CONTRACTOR (IF NOT KNOWN, SUBMIT LATER) | NAME & ADDRESS OF ENGINEER OR ARCHITECT | TELEPHONE NO. / LICENSE NO. |
|---|---|---|
| Shimizu America Corporation<br>805 Third Avenue, 12th Floor<br>New York, New York 10022 | Buttrick White & Burtis<br>475 Tenth Avenue<br>New York, New York 10018 | (212) 967-3<br>014896 |

SEND CORRESPONDENCE TO:
(NAME & ADDRESS OF EMPLOYEE IN CHARGE OF WORK)
Mr. Norihiko Shimizu
Shimizu America Corporation
805 Third Avenue
New York, New York 10022
TELEPHONE NO. (212)935-6680

APPLICANT'S NAME (AS IT APPEARS ON LEASE OR PERMIT)
Yamaichi International (America), Inc.

ENGINEER OR ARCHITECT CERTIFICATION

I have supervised the preparation of plans and specifications for the entire work proposed herein and hereby certify that they conform to the requirements of the respective enactments, ordinances, resolutions and rules and regulations of the City, town or municipality in regard to construction and maintenance of buildings and structures and in regard to health and fire protection which would be applicable if the Port Authority were a private corporation.

REGISTERED ARCHITECT THEODORE BURTIS WHITE No. 014896 STATE OF NEW YORK

| BY (SIGNATURE OF AUTHORIZED REP.) | TITLE | DATE | SIGNATURE OF LICENSED PROFESSIONAL ENGINEER OR ARCHITECT | DATE |
|---|---|---|---|---|
| Shigeki Hatta | S.V.P | 7/28/88 | Theodore A. White, III | 7/29/88 |

**PART TWO: Prepared by Port Authority and returned to applicant**

The above Application is ☐ Approved ☐ Disapproved. Subject to the following conditions:
The Contractor by signing below agrees to all the terms and conditions on this application and on the reverse side thereof, including #5 indemnifying the Port Authority and further agrees to be bound by all riders and schedules attached to this application.

Signature: _____ 7/28/88 date

Contractor's Name & Address
Shimizu America Corporation
805 Third Avenue, NYC, NY 10022 ☑ Continued on Rider "A"

Signature of Officer/Partner: Shigeki Hatta 7/28/88
"B", "C" & "F" date

Please advise the undersigned in writing, when this work has been Completed.

The Port Authority of New York and New Jersey

| INSPECTED BY | DATE |
|---|---|
| | / / |

BY _____

TITLE Deputy Director for Physical Facilities, World Trade & Economic Development

DATE 8/30/88

BUTTRICK WHITE & BURTIS

YAMAICHI INTERNATIONAL (AMERICA) INC
98TH FLOOR WORLD TRADE #2 PHASE II
DRAWING LIST
AUGUST 1, 1988

ARCHITECTURAL

| | | |
|---|---|---|
| A.1 | Title Sheet | August 1, 1988 |
| A.2 | Floor Plan | August 1, 1988 |
| A.3 | Reflected Ceiling Plan | August 1, 1988 |
| A.4 | Access Floor Plan | August 1, 1988 |
| A.5 | Floor Cell & Core Plan | August 1, 1988 |
| A.6 | Equipment and Furniture Plan | August 1, 1988 |
| A.7 | Partial Plans and Interior Elevations | August 1, 1988 |
| A.8 | Interior Details | August 1, 1988 |
| A.9 | Schedules & Miscellaneous Details | August 1, 1988 |

PLUMBING

| | | |
|---|---|---|
| P98-1 | Plumbing Plan, Symbols & Notes | August 1, 1988 |
| P98-2 | Riser Diagram & Details | August 1, 1988 |

SPRINKLERS

| | | |
|---|---|---|
| SP98-1 | Sprinkler Plan | August 1, 1988 |
| SP98-2 | Sprinkler Symbols, Notes & Details | August 1, 1988 |

MECHANICAL

| | | |
|---|---|---|
| M98-1 | HVAC Removal Plan | August 1, 1988 |
| M98-2 | HVAC Ductwork Distribution Plan | August 1, 1988 |
| - | No Drawing M98-3 | August 1, 1988 |
| - | No Drawing M98-4 | August 1, 1988 |
| M98-5 | HVAC Ductwork Details | August 1, 1988 |
| M98-6 | HVAC Equipment Schedules and Piping Details | August 1, 1988 |

ELECTRICAL

| | | |
|---|---|---|
| E98-1 | Symbol Notes & Details | August 1, 1988 |
| E98-2 | Lighting Plan | August 1, 1988 |
| E98-3 | Power Plan | August 1, 1988 |
| E98-4 | Signal Plan | August 1, 1988 |
| E98-5 | Telephone Plan | August 1, 1988 |
| - | No Drawing E98-6 | August 1, 1988 |
| E98-7 | Panel Schedules | August 1, 1988 |

ACORD CERTIFICATE OF INSURANCE

DATE (MM/DD/YY) 7/6/88

PRODUCER

Marsh & McLennan, Incorporated
1221 Avenue of the Americas, 10th Fl.
New York, NY 10020-1011

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

INSURED
Shimizu America Corp.
375 Park Avenue, Suite 1302
New York, NY 10152

COMPANIES AFFORDING COVERAGE

COMPANY LETTER A: Tokio Marine & Fire Insurance Co., Ltd.
COMPANY LETTER B:
COMPANY LETTER C:
COMPANY LETTER D:
COMPANY LETTER E:

COVERAGES

THIS IS TO CERTIFY THAT POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS, AND CONDITIONS OF SUCH POLICIES.

| CO LTR | TYPE OF INSURANCE | POLICY NUMBER | POLICY EFFECTIVE DATE (MM/DD/YY) | POLICY EXPIRATION DATE (MM/DD/YY) | LIABILITY LIMITS IN THOUSANDS | EACH OCCURRENCE | AGGREGA |
|---|---|---|---|---|---|---|---|
| A | GENERAL LIABILITY<br>X COMPREHENSIVE FORM<br>X PREMISES/OPERATIONS<br>UNDERGROUND EXPLOSION & COLLAPSE HAZARD<br>X PRODUCTS/COMPLETED OPERATIONS<br>X CONTRACTUAL<br>X INDEPENDENT CONTRACTORS<br>X BROAD FORM PROPERTY DAMAGE<br>X PERSONAL INJURY | CLL 8810111 | 3/27/88 | 3/27/89 | BODILY INJURY | $ | $ |
| | | | | | PROPERTY DAMAGE | $ | $ |
| | | | | | BI & PD COMBINED | $ 1,000 | $ 1,0 |
| | | | | | PERSONAL INJURY | | $ 1,0 |
| A | AUTOMOBILE LIABILITY<br>X ANY AUTO<br>ALL OWNED AUTOS (PRIV PASS)<br>ALL OWNED AUTOS (OTHER THAN PRIV PASS)<br>HIRED AUTOS<br>NON-OWNED AUTOS<br>GARAGE LIABILITY | CA 8810098 | 3/27/88 | 3/27/89 | BODILY INJURY (PER PERSON) | $ | |
| | | | | | BODILY INJURY (PER ACCIDENT) | $ | |
| | | | | | PROPERTY DAMAGE | $ | |
| | | | | | B.I. & P.D. COMBINED | $ 1,000, | |
| A | EXCESS LIABILITY<br>X UMBRELLA FORM<br>OTHER THAN UMBRELLA FORM | UMB 8810112 | 3/27/88 | 3/27/89 | B.I. & P.D. COMBINED | $ 5,000, | 5,0 |
| A | WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY | WCS 1028939 | 3/27/88 | 3/27/89 | STATUTORY | | |
| | | | | | | $ 100, EACH ACCIDENT | |
| | | | | | | $ 500, DISEASE POLICY LIM | |
| | | | | | | $ 100, DISEASE EACH EMP. | |
| A | OTHER<br>Builder's Risk | IM 2612117 | 7/1/88 | 7/1/89 | $1,000, | | |

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/SPECIAL ITEMS The Certificate Holder is included as Additional I for office renovations project for Yamaichi International (America), Inc. at Two World Trac Center, 95th, 96th and 98th floors, New York, NY 10048.

CERTIFICATE HOLDER

The Port Authority of NY and NJ
Gunnar Olsen, Jr., P. A.
One Path Plaza
Jersey City, NJ 07306

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE E PIRATION DATE THEREOF, THE ISSUING COMPANY WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO T LEFT, BUT FAILURE TO MAIL SUCH NOTICE SHALL IMPOSE NO OBLIGATION OR LIABIL OF ANY KIND UPON THE COMPANY, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE

TENANT CONSTRUCTION OR ALTERATION APPLICATION

R I D E R "A" Revised February 1988

ADDITIONAL TERMS AND CONDITIONS:

A. The agreement between the Applicant and any materialman, contractor or subcontractor shall contain the following provisions:

1. That the Contractor shall arrange with the Port Authority's Construction Division for scheduled use of material elevators 48 hours in advance of required use.

It is presently anticipated but not guaranteed that the elevator cars used for hoisting will be made available to the Contractor during normal working hours, 8:00 A.M. to 4:30 P.M., Monday through Friday and outside normal working hours, at such times as they are available. The assignment of such cars during the Contractor's normal and overtime hours will be on a first come - first served basis in accordance with a schedule which will be determined by the Construction Division on a week-to-week basis, approximately 48 hours in advance of usage. Contractual commitments with others have been made and will be made during the period when deliveries are to be made hereunder. No representation is made that these cars will be made available to the Contractor on specific dates or at any given time either during or outside normal working hours.

After making such arrangements and being assigned elevator hours for deliveries, the Contractor shall pay for all the assigned time plus usage in excess of such assigned time at the following rates:

a) For the first hour or part thereof, a minimum of $75.00 per hour, per car during normal working hours, and $150.00 per hour, per car during other than normal working hours.

b) For each subsequent half hour or portion thereof, a minimum of $37.50 per half hour per car during normal working hours; $75.00 per half hour per car during other than normal hours.

2. That upon notice from the Port Authority, the Contractor shall halt any and all construction which in the opinion of the Port Authority is not or would not be in conformity with the approved Contract Documents and that construction will not proceed until the Contractor's proposal to correct the work and procedures have been approved by the Port Authority.

3. That the Contractor shall daily clean up all refuse, rubbish, scrap materials and debris caused by his operation; that at all times, the construction site shall present a neat, orderly and workmanlike appearance. The Contractor shall remove and deposit the above refuse, rubbish, scrap materials and debris into containers (capacity one-half (1/2) cu. yd.) centrally located, which will be supplied and emptied by the Authority at a charge to the Contractor of $38.00 per half cubic yard.

If the Contractor fails to place the refuse, rubbish, scrap materials and debris on a daily basis into such containers, then the Authority shall authorize others to perform these removals and the cost thereof shall be backcharged to the Contractor. These backcharges will be as determined by the Authority. The removal as described above shall be accomplished without storing excess quantities of any refuse, rubbish, scrap materials and debris of any sort resulting from the removal operations. However, it shall be the Contractor's responsibility to advise the Authority when he requires containers.

4. That the Contractor shall compensate the Port Authority at the rate of Eight Dollars ($8.00) per hour (or portion thereof (minimum of four (4) hours) provided by a uniformed guard in connection with maintaining security in a tenant area adjacent to, over or under the premises covered by this approved Application due to work required under this Application during the absence of any representative of that tenant or the requirement of that tenant that a guard be provided in his area.

5. That the Applicant shall withhold payment to the Contractor of at least ten percent (10%) of the contract cost until the Applicant receives the certificate of completion from the Port Authority indicating that the work has been completed in accordance with the terms and conditions of the Application and that all claims by the Port Authority for services in connection with inspection of the work, hoisting, cleanup or any other claims deemed appropriate by the Port Authority have been satisfied; and that the Applicant, out of such monies withheld, will have the right and shall compensate the Port Authority for such claims.

B. Prior to execution of any agreement between the Applicant and a Contractor, subcontractor or materialman, the tenant shall submit their name, address and a telephone number. In no case shall the Applicant enter into any agreement for work on the premises with any contractor, subcontractor, or materialman who has not been approved in writing by the Port Authority for such work.

C. This Application shall be deemed withdrawn by the Applicant in the event performance of the work covered hereunder has not been commenced within one (1) year after the approval date as shown in the lower right corner of this Application. In such event, the Applicant shall not commence performance of any work until the Applicant has submitted a new application to the Port Authority for its approval and has received a copy of such application duly signed in Part Two thereof on behalf of the Port Authority.

D. The Applicant shall compensate the Port Authority for each hour provided by a field engineer in connection with the periodic inspection of the tenant construction at the rate of Thirty-Five Dollars ($35.00) per hour (or portion thereof) during normal weekday working hours between 8:00 A.M. and 4:30 P.M. and Sixty Dollars ($60.00) per hour (or portion thereof) during other than normal working hours between 4:30 P.M. and 8:00 A.M. and all hours on Saturday and Sunday.

E. Effective February 17,1988 the Port Authority will charge fees for the review of Tenant Alteration or Construction Applications as per the following schedule which represents fees similar to those of New York City for filing alteration plans in privately owned buildings.

| CONSTRUCTION DOLLARS | | | DOLLAR VALUE OF FEE |
|---|---|---|---|
| Up to | | $ 1,000 | $ 55.00 |
| $ 1,000 | - | 2,000 | 75.00 |
| 2,001 | - | 3,000 | 95.00 |
| 3,001 | - | 4,000 | 115.00 |
| 4,001 | - | 5,000 | 135.00 |

* Over $5,000

*In excess of $5,000, the fee is $135.00 plus $10.00 per $1,000 or fraction thereof above $5,000.

INITIALED: J. H.

Applicant — Date 7/28/88

Contractor — Date 7/28/88

RIDER "B"

CLAIMS OF THIRD PERSONS

The Contractor undertakes to pay all claims lawfully made against him by subcontractors, materialmen and workmen, and all claims lawfully made against him by other third persons arising out of or in connection with or because of the performance of this Contract and to cause all subcontractors to pay all such claims lawfully made against them.

If the Contractor fails to pay any such claim lawfully made against him or any subcontractor fails to pay any such claim lawfully made against him or if in the opinion of the owner any of the aforesaid contingencies is likely to arise, then the owner shall have the right, in its discretion, to withhold out of any payment (final or otherwise and even though such payment has already been certified as due) such sums as the owner may deem ample to assure the payment of such claims and to apply such sums in such manner as the owner may deem proper to satisfy such claims. All sums so applied shall be deducted from the owner's compensation, omission by the owner to withhold out of any payment, final or otherwise, a sum for any of the above contingencies, even though such contingency has occurred at the time of such payment, shall not be deemed to indicate that the owner does not intend to exercise its right with respect to such contingency. Neither the above provisions for rights of the owner to withhold and apply monies nor any exercise or attempted exercise of, or omission to exercise, such rights by the owner shall create any obligation of any kind to such materialmen, subcontractors, workmen or other third persons.

Until actual payment to the Contractor, his right to any amount to be paid under this Contract (even though such amount has already been certified as due) shall be subordinate to the rights of the owner under this numbered clause.

NO PORT AUTHORITY OBLIGATIONS

No obligations or liabilities to the Contractor are assumed or intended to be assumed by the Port Authority.

INDEMNITY

(A) The Contractor shall indemnify and hold harmless the Port Authority, its commissioners, officers, agents and employees, against and from (a) the risk of injuries (including wrongful death) or damage direct or consequential, to it or them or to its or their property, arising out of or in connection with the performance of the work, and (b) the risk of claims and demands by third persons, arising or alleged to arise out of the performance of the work, whether such risks arise out of acts or omissions of the Contractor or the owner or their Contractors, The Port Authority, or otherwise.

(B) If so directed, the Contractor shall at its own expense defend any suit based upon any such claim or demand (even if such suit, claim or demand is groundless, false or fraudulent), and in handling such it shall not, without obtaining express advance permission from the General Counsel of The Port Authority, raise any defense involving in any way the jurisdiction of the tribunal over the person of the Port Authority, the immunity of the Port Authority, its commissioners, officers, agents or employees, the governmental nature of The Port Authority or the provision of any statutes respecting suits against The Port Authority.

Initialed: _____

_____ 7/25/89
Applicant Date

_____ 7/25/89
Contractor Date

R I D E R "C"

Revised July 1988

TENANT: Yamaichi International LEASE NO. WT- 1252A - B-98

CONTRACT WTC 578-972 TENANT ALTERATION APPLICATION NO. 4128

A. GENERAL REQUIREMENTS:

1. a. The WTC Planning Coordinator for this T.A.A. is John Picone

located at 1 WTC, Suite 62 East, Tel. (212) 313-2002

b. The WTC Construction Supervising Engineer for this T.A.A. is Charles Serge

located at 1 WTC, Room 3861, Tel. (212) 313-2268

2. In case of start of construction via an approved T.A.A. or otherwise, it shall be the responsibility of the Tenant or his Consultant to comply with any additional requirements resulting from Port Authority review.

3. Only the Contractor whose signature appears on this Application and his sub-contractors will be permitted to begin work at the construction site after approval of his Certificate of Insurance. Any other Contractor who may be required to perform work under this Application will not be permitted to work at the construction site until he provides a separate Certificate of Insurance which is approved by the Port Authority.

4. The Contractor shall notify the WTC Construction Manager and the Manager of WTC Operations, or their designated representatives, regarding any request for shutdown of base building utilities which will cause interruption of services in other areas of the building. Such request must be delivered to the Manager, WTC Operations, at least seven (7) working days prior to the requested shutdown and shall be subject to the final approval of the Manager, WTC Operations.

5. All arrangements for temporary utilities, garbage removal, elevator services, etc. are the responsibility of the Contractor.

6. The Contractor shall at no time overload the freight elevators with his materials. Further, in order not to create an unsafe condition, he shall notify the Port Authority Inspector whenever the weight of the materials will exceed 50% of the capacity of the elevator. In such cases, the Port Authority Inspector will make arrangements to have the elevator maintenance personnel temporarily support the elevator cab to prevent it from being out of level during loading as a result of cable stretch. The Contractor shall reimburse the Port Authority for the cost of WTC Operations maintenance personnel at the rate of $45.00 per hour (normal time) or $55.00 per hour (premium time).

7. The approval of structural Shop Drawings is the responsibility of the Tenant's Consultants. Approved copies of same shall be submitted to the WTC Planning Coordinator for record purposes.

8. The Contract Drawings duly approved by WTC Planning and Shop Drawings approved by the Tenant's Consultants shall be the only drawings used for construction.

9. All work under this T.A.A. will be subject to Port Authority inspection to ensure that it is in conformance with approved Contract Drawings, Specifications and Shop Drawings.

10. Upon completion of this work, one (1) complete set of reproducible Contact Film, wash-off type "As Built" drawings (drawings should not be folded), conformed Specifications, all Shop Drawings, catalog cuts, etc., must be submitted to the WTC Construction Supervising Engineer, who will stamp them to confirm their authenticity and forward same to the WTC Planning Coordinator. The Contractor shall itemize these documents on an index form to be obtained from the WTC Construction Supervising Engineer prior to submittal.

11. The Tenant and his Contractor shall plan and conduct all their operations as to work in harmony with others engaged at the construction site and not to delay, endanger or interfere with the operations of others.

12. The Port Authority maintains a stock of certain World Trade Center standard materials which the Contractor may purchase. A complete list of available materials is obtainable from Ms. M. Singleton, WTC Planning, 1 WTC, Suite 62 East, Tel. (212) 466-7305.

13. It is the responsibility of the Contractor to return all WTC Building Standard attic stock materials to be salvaged as specified and directed by the Port Authority Inspector.

14. Submit affidavits attesting to the fire retardancy of all wood construction prior to installation, per Section 27-328 of the New York City Building Code to the following Port Authority personnel: one (1) copy to the WTC Construction Supervising Engineer or to the Inspector representing him in the field; and one (1) copy to the WTC Planning Coordinator.

15. The following criteria shall be adhered to for all new electrical/telephone outlet coring of floor slabs in any World Trade Center building:

a. Cored holes shall be a minimum of 16 inches center to center between all new and/or existing cored holes, including those abandoned and filled with concrete. Cores shall be of four inches (4") maximum diameter.

b. *In One and Two World Trade Center, coring along the exterior wall shall be a minimum of* 5'-0" from the inside face of the exterior columns. However, cores will be permitted within the induction units providing they are of four inches (4") maximum diameter. Cores will not be permitted along the following locations: from a point starting 31 feet from the face of the exterior columns to the end of underfloor cells 217.5, 238.5, 242.5, 417.5, and 442.5; from a point starting 61 feet from the face of exterior columns to the end of underfloor cells 227.5, 232.5, 427.5, and 432.5. (Cell numbers referred to herein are based upon the exterior column numbers, e.g., Cell 217.5 is situated between Columns 217 and 218).

c. The Tenant shall submit to the Port Authority a scaled core drawing with all Tenant Alteration Applications that require coring to be performed. The drawing (s) shall encompass the entire demised leasehold where coring work is extensive. Each cored hole shall be identified as to new, existing, active, abandoned, abandoned and filled, etc., and they shall be located with dimensions. Sizes of cores shall also be indicated. Where coring is required in conjunction with a Tenant Alteration Application of a minor nature, adjacent existing cores shall be identified and located by dimensions on the core drawing.

d. All floor outlets to be removed or abandoned shall have all power wiring and cables removed back to the header duct. If the wires and cables are PVC-insulated, all wires and cables must be removed back to the panel. The afterset fittings shall be removed by coring. An approved steel plate shall be installed over the 2" diameter hole above the P/T cell. The existing 4" diameter cored hole in the concrete slab shall be filled with concrete. A second steel plate shall be inserted into the concrete hole approximately 1/2" below the top of the slab. The filled hole shall be adjusted to grade.

e. When removing unused or abandoned communication cables, the cables shall be removed from the cells all the way back to the binding posts in the Telephone Closets.

16. Core drilling and the shooting of studs into slabs, etc. with the use of power actuated fasteners, pneumatic and electrical hammers, or any other noise producing equipment, shall be permitted only between the hours of 6:00 P.M. and 8:00 A.M. Further, an observer is required on the floor below to check for water leakage during the core drilling operation. In the event the Contractor is engaged in *any other "noisy" operations which disturb adjacent Tenant's operations, the Port Authority, at its sole* discretion, may require the Contractor to perform said "noisy" operations during non-business hours.

17. In the event the Contractor's work will affect the activation of smoke detectors or other warning devices, the Contractor shall request the WTC Construction Inspector to have WTC Operations maintenance personnel *deactivate such devices. During the deactivation period, the Contractor shall provide* qualified personnel to look for any unsafe conditions and contact the appropriate authorized personnel to take the necessary action as directed by the WTC Construction Inspector. Upon termination of above stated work conditions, the Contractor shall request the WTC Construction Inspector and WTC Operations maintenance personnel to activate the smoke detectors and other warning devices. The Contractor shall reimburse the Port Authority for the cost of providing WTC Operations maintenance personnel at the rate of $45.00 per hour (normal time) or $55.00 per hour (premium time).

18. LIFE SAFETY SYSTEMS

a. The Tenant's Consultant responsible for the preparation of Contract Documents shall provide wiring diagrams, installation procedures and applicable Shop Drawings for all new and existing life safety systems to be modified. The drawings shall indicate locations of cable terminations, sequence of operation, and specifications of all the component parts. All the life safety systems shall be connected to the World Trade Center Multiplex System. The applicable systems shall include but not be limited to smoke detection, pre-action systems, and Halon. The documents required herein shall be submitted for Port Authority review at the time that other required Tenant Alteration Application documents are submitted.

b. The Contractor shall perform preliminary testing of all fire and life safety equipment and systems to ensure that each component of every system functions in accordance with the approved Contract Documents, including but not limited to all wiring and connections, flow alarms, tamper alarms, electrical controls, smoke detectors, automatic dampers, interlock devices, air testing of piping, and other testing as directed by the Port Authority. An approved substitute for Halon, e.g., air carbon dioxide, or other inert gas, or a suitable Freon may be used as a test medium during the preliminary testing of a Halon installation. All preliminary and final testing shall be performed in the presence of subcontractor's personnel and Port Authority staff as required by the WTC Construction Supervising Engineer.

c. Any fire safety work, including but not limited to smoke detectors, sprinklers, Halon systems, etc., shall be completed and operational prior to the Tenant occupying the premises. Occupany may be permitted prior to completion of such work if approved by the Port Authority. In such instances, the Tenant must maintain a fire watch on the premises during the time that the premises remains unattended.

d. Prior to occupancy of the premises and prior to issuance of a Certificate of Completion, the Tenant shall furnish to the Port Authority Coordinator, Fire Safety Programs, a copy of an executed Agreement between the Tenant and a Contractor who shall be responsible for inspection and maintenance as required by the New York City Fire Prevention Code of each new and/or existing tenant life safety system, including but not limited to smoke detection, pre-action sprinkler systems and Halon suppression systems. This Agreement may not be cancelled, terminated, or modified without written advance request to the Port Authority.

The Agreement between the Tenant and the Contractor shall provide that the Contractor is approved by the City of New York to perform such maintenance and inspection functions; that the Contractor will furnish copies of all periodic tests to the Port Authority Coordinator, Fire Safety Programs; that the Contractor will furnish copies of any renewal agreements at least 15 days prior to expiration and will notify the Port Authority Coordinator, Fire Safety Programs, in the event that the Agreement has been cancelled.

19. The Contractor shall mark the locations of smoke detectors installed above ceilings with colored push-pins on the underside of the ceiling tiles. The pins may be obtained from WTC Operations at the B-2 Level. For smoke detectors located below the raised floors, the Contractor shall mark their locations with distinctive colored push-pins on the ceiling directly above the detectors or mount on the wall within the room, a partial floor plan showing the raised floor area with dimensioned locations to each smoke detector.

20. It is required that existing demising walls be checked for penetrations and sealed to restore their fire rating. In addition, all existing structural steel fireproofing must be patched where material is missing, as required by the Construction Manager. The materials used for sealing penetrations and patching fireproofing shall be as approved by the Port Authority.

21. It shall be the responsibility of the Tenant to see to it that the following requirement is met:

All electrical work to be performed, including all electrical devices to which or from which any electrical connections or disconnections are to be made, shall be shown on the Electrical Drawings.

22. The Contractor shall remove all electrical devices, including all conduits and wiring specified on the drawings, to be no longer in use.

23. Materials containing PVC will not be permitted in any permanent construction except for carpeting and underlayment.

24. The Tenant's Consultant shall submit, for review and approval by the Port Authority, catalog cuts for all new electrical panels and new circuit breakers which shall indicate that they are U.L. listed. In addition, whenever circuit breakers are to be installed in existing panels, or in panels not made by the circuit breaker manufacturer, such circuit breakers shall be U.L. classified for use in load centers and panelboards manufactured by others.

25. The Contractor shall not perform any construction, hook-up, demolition, etc., at any location other than within the physical boundaries of the construction site as shown on the Contract Drawings appended to the Tenant Alteration Application. For any work not specifically shown and noted on the approved Contract Drawings and which is outside of the construction site, and the scope of which is part of the approved Tenant Alteration Application, the Contractor shall submit to the Construction Supervising Engineer named hereinbefore the exact routing to be followed in the performance of the Work. The routing shall then be evaluated by the WTC Asbestos Control Section to determine if any asbestos is present and if it might be disturbed.

B. SPECIAL REQUIREMENTS: (The following Items No. 26 thru 36 are not applicable to Communications Systems installations)

26. All permanent locksets, keying, etc., must be of the "Corbin" system and must conform with Port Authority requirements regarding stamping and keying of master ring cylinders having the Port Authority keyway. It is required that the Tenant purchase all their cylinders from the Port Authority. The Contractor must contact the WTC Locksmith Shop, 1 WTC, B-2 Level, Rm. 125, Tel. 466-3182.

27. Only thermostats manufactured by Honeywell shall be installed in the peripheral HVAC system. When required, these thermostats are to be submitted to WTC Operations' Mechanical Section, 1 WTC, B-2 Level, Rm. 109 for recalibration to WTC temperature standards.

28. Upon completion and testing of the HVAC Systems, three (3) copies of the Balancing Report must be submitted to the WTC Construction Supervising Engineer. One (1) copy shall be sent to the WTC Planning Coordinator. The testing must be performed in the presence of a WTC Construction Inspector.

29. Whenever equipment using condenser water is installed, the Contractor shall submit the following information to the WTC Planning Coordinator:

a. A copy of the Name Plate Data for each A/C unit, including but not limited to the name of manufacturer, Model No., Serial No., and the rated capacity in BTU/Hr. or tons. This information shall be verified by the WTC Construction Inspector after installation is completed.

b. Catalog cuts, operating manuals, and/or other documents which describe all the operating characteristics of each A/C unit.

c. The information requested in the foregoing sub-paragraphs a. and b. of this numbered paragraph must be complied with prior to activating the system.

30. The following signage requirements shall be complied with by the Contractor:

a. All open-ended piping terminating at a Janitor's Service Closet, i.e., HVAC condensate drains, sprinkler system drains, or other similar drain points, shall have durable signs securely affixed to the piping to identify the source of water by showing Tenant's name and system.

b. All tenant connections to the World Trade Center Condenser Water or other similar auxiliary systems shall have durable signs which identify the tenants. These signs shall be securely affixed to the piping at the point of connection.

c. All tenant standpipe or sprinkler systems shall have durable signs installed at all flow test connections which instruct the Inspector to notify the World Trade Center's Operations Desk at 466-4164 prior to the start of any system tests.

31. Whenever the support system for any suspended ceiling is to be altered or replaced as a result of any work authorized by this Alteration Application, the Tenant's Architect or Engineer whose seal appears thereon, shall provide details of the ceiling support system which conform to the standards set forth in the applicable Section of the New York City Building Code.

32. Audibility of the Fire Alarm Communications System must be maintained as required by Sections 27-972 and 27-975 of the New York City Building Code.

a. A preliminary audibility test of the existing Fire Alarm Communications System shall be done in the presence of WTC Construction, Operations, the Contractor and a Tenant representative prior to commencement of any work in the ceiling. If the results of this preliminary test find the audibility unsatisfactory, the Port Authority will take corrective action. If the results are satisfactory, no action is required by the Port Authority.

b. After construction is completed, and as part of the final inspection, an audibility test must be performed in the presence of a WTC Construction Inspector. If the test results are unsatisfactory, it is then the responsibility of the Contractor to correct the problem with additional speakers and/or amplifiers as required.

33. If sprinklers are being installed, four (4) black and whites and one (1) reproducible copy of Shop Drawing (s) and Hydraulic Calculations stamped "Approved" by the Tenant's Consultant, must be submitted to the WTC Planning Coordinator to obtain final concurrence by the Inspection & Safety Division of the Port Authority.

34. The Tenant shall insulate those 17" x 8" supply ducts that are parallel with the north and south exposures in 2 WTC and the east and west exposures in 1 WTC. A copy of the criteria for this work entitled, "SUPPLY DUCT INSULATION SPECIFICATION", dated June 8, 1983 can be obtained from the WTC Planning Coordinator.

35. No Tenant shall connect to WTC Base Building pneumatic control piping. If pneumatic controls are required, the Tenant shall provide his own compressor.

36. All customized public corridor signage, including door logos, shall be submitted to the Port Authority for approval prior to fabrication and/or installation.

37. The drawings dated or revised dated as referred to on the Application Form are the only drawings released for construction by the approval of this Tenant Alteration Application. Additional drawings or the above mentioned drawings with new revision dates are not approved for construction unless approved in a writing which is signed and issued by the WTC Planning Coordinator named herein. No other drawings are permitted to be used on the construction site.

C. ADDITIONAL REQUIREMENTS RESULTING FROM THE REVIEW OF CONTRACT DRAWINGS AND SPECIFICATIONS BY THE PORT AUTHORITY:

INITIALED: [illegible]

[signature] 7/2[illegible]/88
Applicant Date

[signature] [illegible]/88
Contractor Date

RIDER "F"

The Applicant shall not commence performance of any of the said work prior to the receipt by Applicant of a copy of this application duly signed in Part Two hereof on behalf of The Port Authority of New York and New Jersey. Upon receipt thereof, the Applicant and Contractor agrees to perform said work in accordance with the following "Information to be Furnished by Applicant" and to comply with and be bound by all requirements and conditions set forth below under the remarks, if any, in Part Two hereof and the terms and conditions set forth on the reverse hereof and any Riders attached.

Minimum Insurance Limits Unless Specified to be Greater - Bodily Injury $2,000,000 each person; $2,000,000 each occurrence; Property Damage $500,000 each accident; $500,000 aggregate.

Terms and Conditions

5. The Applicant and Contractor shall indemnify and hold harmless the Port Authority, its Commissioners, officers, agents and employees, against and from (a) the risk of any and all claims of injuries (including wrongful death) or damage direct or consequential, to it or them or to its or their property, arising out of or in connection with the performance of the work, and (b) the risk of claims and demands by third persons, arising or alleged to arise out of the performance of the work, whether such risks arise out of acts or omissions of the Applicant, its contractors, the Port Authority, or otherwise, except where indemnity would be precluded by New York State General Obligations Law.

Initialed: [illegible]

[illegible] 7/8/88
Applicant Date

[illegible] 11/22/88
Contractor Date

THE PORT AUTHORITY OF NEW YORK & NEW JERSEY

# M E M O R A N D U M

To: Mr. R. Linn, Deputy Director for Physical Facilities, WT & ED
From: Arthur P. Coras
Date: August 22, 1988
Subject: WTC - ALTERATION APPLICATION #W-4128 - YAMAICHI INT'L (AMERICAN), INC.
2 WTC, 98TH FL. - INSTALL OF NEW OFFICES

Reference: Review Request dated 8/3/88

Copy to: L. Sanchez, A. Vaccaro Job Folder
Chrono File

A review of the material submitted with the referenced request for the subject application has been made.

It is recommended that approval be given based on the items listed below.

It is recommended that approval to proceed be given subject to the submission of the items listed below being revised in accordance with the eight (8) requirements listed on the attached rider.

Drawings: See attachment

Specifications: Computations:

REMARKS:

Arthur P. Coras
Manager
Quality Assurance Division

RAW/jb
Att.

**ATTACHMENT**

**ALTERATION APPLICATION #W-4128**

The following drawings are recommended for approval:

| | | |
|---|---|---|
| A1 | dated | 08/01/88 |
| A2 | dated | 08/01/88 |
| A3 | dated | 08/01/88 |
| A4 | dated | 08/01/88 |
| A5 | dated | 08/01/88 |
| A6 | dated | 08/01/88 |
| A7 | dated | 08/01/88 |
| A8 | dated | 08/01/88 |
| A9 | dated | 08/01/88 |
| P98-1 | dated | 07/29/88 |
| P98-2 | dated | 07/29/88 |
| SP-98-1 | dated | 07/29/88 |
| SP-98-2 | dated | 07/29/88 |
| M-98-1 | dated | 08/01/88 |
| M-98-2 | dated | 08/01/88 |
| M-98-5 | dated | 08/01/88 |
| M-98-6 | dated | 08/01/88 |
| E-98-1 | dated | 07/29/88 |
| E-98-2 | dated | 07/29/88 |
| E-98-3 | dated | 07/29/88 |
| E-98-4 | dated | 07/29/88 |
| E-98-5 | dated | 07/29/88 |
| E-98-7 | dated | 07/15/88 |

082288

**RIDER**

**ALTERATION APPLICATION #W-4128**

ARCHITECTURAL

1. Dwg. #A-2. Closet #98.64 shall be classified as B-1 storage occupancy requiring 3-hour fire rated enclosure as required per N.Y.C. Building Code, Table 5-2, except if B-2 occupancy can be justified based on the type of material to be stored or on the use of metal containers or cabinets for the storage of combustible materials. Code Section C26-301.4 and C26-33.1, Code Tables 5-1 and/or 5.2.

2. Dwg. #A-8, Sections #24 and #25. Show detail at connection of pendant studs to slab above. Provide BS&A approval calendar numbers for connection items.

HVAC

3. Dwg. #M98-2. Provide index for ventilation for each room or space, as required by the New York City Building Code, Section 27-182 (C26-116.3(a)(3).

4. Dwg. #M98-5, Typical Duct Support Detail. Revise detail so that strap sizes and hanger spacings shall comply with Code RS 13-1, Section 2-1.1.2.3.

5. Specification Section 15860, Paragraph 1.02C. Revise specification to require that air filters shall either be Class 1 or Class 2 as required by Code RS 13-1, Section 2-4.1.1.

FIRE PROTECTION

6. Sprinkler piping within 15 feet of exterior walls shall be insulated. (World Trade Center requiremment).

ELECTRICAL

7. Dwg. #E98-2. Verify that all emergency lights and exit signs are approved for use in New York City. N.Y.C. Building Code C26-106.2(a)(2).

8. Dwg. #E98-4. Openings around electrical penetrations through fire-resistance rated walls, floors or ceilings shall be firestopped using approved methods to maintain the fire-resistance rating. NEC Section 300-21.

082288

**THE PORT AUTHORITY OF NY & NJ** Memorandum

| Refer To | Date | Noted By | Date |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |
| Return To | | File | |

To: Arthur P. Coras, Manager, Quality Assurance Division
From: Robert J. Linn
Date: August 4, 1988
Subject: THE WORLD TRADE CENTER - YAMAICHI INTERNATIONAL (AMERICA) INC. - 2 WTC, 98TH FLOOR - WT 1252A - CONTRACT WTC 578.972 - T.A.A. NO. 4128 - INSTALLATION OF NEW OFFICES ON THE 98TH FLOOR, PHASE II - REVIEW OF CONTRACT DRAWINGS
Reference: Work Order - L. Sanchez/P. Marchese, dated 8/3/88
Copy to: Messrs. F. Boyce, T. Cancelliere*, N. Chanfrau*, J. Chennisi, P. Cooper*, E. Daly, J. Duncan*, M. Glavicic*, J. Grismer, P. Marchese, N. Napolitano*, A. Preschle, L. Sanchez, C. Semah*, C. Serge*, A. Vaccaro, G. Wenger
Ms. M. Singleton

| COPY TO | |
|---|---|
| APC | |
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You are requested to review the attached Architectural, Plumbing, Sprinklers, Mechanical and Electrical Drawings (see attached Drawing List) for New York City Building Code compliance and Port Authority Standards regarding construction details and specifications as they apply to Two World Trade Center. The written specifications submitted under T.A.A. No. 4098, Contract WTC 578.964, Phase I of the 98th floor renovation, is applicable in its entirety to the work above.

The scope of work consists of general reconstruction of tenant space. Please note that this work will be performed under a Tenant Alteration Application at an estimated construction cost of $1,500,000.00 (TAA Form attached).

It is requested that all comments on the above drawings be returned to this office no later than August 25, 1988. By copy of this memorandum, all persons copied with attachments are also requested to submit their comments by the above specified date. It will be assumed that those offices not responding to this request by the specified date have no comments to offer.

By copy of this memorandum, Mr. Frank Boyce is requested to immediately arrange for appropriate bulk sampling of the sprayed-on fireproofing or insulation in the subject space and have it analyzed for the presence or absence of asbestos as expeditiously as possible. Please provide a report of your findings with a copy to the Engineering Department and the Inspection and Safety Division, who are requested thereby to include the necessary control measures in their comments.

Should you have any questions on this matter, please contact Mr. L. Sanchez on 466-8566 or Mr. N. Napolitano on 466-7003.

THE PORT AUTHORITY OF NY & NJ
QUALITY ASSURANCE DIV.
ENGINEERING DEPT.
AUG 12 1988
RECEIVED

for: Robert J. Linn
Deputy Director for
Physical Facilities
World Trade & Economic Development

8.4.88 * (w/a) NOTED:
REFERRED TO:

NN:jr

PA 90A
3-80

**BUTTRICK WHITE & BURTIS**

YAMAICHI INTERNATIONAL (AMERICA) INC
98TH FLOOR WORLD TRADE #2 PHASE II
DRAWING LIST
AUGUST 1, 1988

ARCHITECTURAL

| | | |
|---|---|---|
| A.1 | Title Sheet | August 1, 1988 |
| A.2 | Floor Plan | August 1, 1988 |
| A.3 | Reflected Ceiling Plan | August 1, 1988 |
| A.4 | Access Floor Plan | August 1, 1988 |
| A.5 | Floor Cell & Core Plan | August 1, 1988 |
| A.6 | Equipment and Furniture Plan | August 1, 1988 |
| A.7 | Partial Plans and Interior Elevations | August 1, 1988 |
| A.8 | Interior Details | August 1, 1988 |
| A.9 | Schedules & Miscellaneous Details | August 1, 1988 |

PLUMBING

| | | |
|---|---|---|
| P98-1 | Plumbing Plan, Symbols & Notes | August 1, 1988 |
| P98-2 | Riser Diagram & Details | August 1, 1988 |

SPRINKLERS

| | | |
|---|---|---|
| SP98-1 | Sprinkler Plan | August 1, 1988 |
| SP98-2 | Sprinkler Symbols, Notes & Details | August 1, 1988 |

MECHANICAL

| | | |
|---|---|---|
| M98-1 | HVAC Removal Plan | August 1, 1988 |
| M98-2 | HVAC Ductwork Distribution Plan | August 1, 1988 |
| - | No Drawing M98-3 | August 1, 1988 |
| - | No Drawing M98-4 | August 1, 1988 |
| M98-5 | HVAC Ductwork Details | August 1, 1988 |
| M98-6 | HVAC Equipment Schedules and Piping Details | August 1, 1988 |

ELECTRICAL

| | | |
|---|---|---|
| E98-1 | Symbol Notes & Details | August 1, 1988 |
| E98-2 | Lighting Plan | August 1, 1988 |
| E98-3 | Power Plan | August 1, 1988 |
| E98-4 | Signal Plan | August 1, 1988 |
| E98-5 | Telephone Plan | August 1, 1988 |
| - | No Drawing E98-6 | August 1, 1988 |
| E98-7 | Panel Schedules | August 1, 1988 |

PA 831
8-73

**THE PORT AUTHORITY OF NY & NJ**
One World Trade Center, New York, N Y 10048

| For Port Authority use only | |
|---|---|
| FACILITY WTC | APP. NO. |
| DATE / / | APPLICANTS NAME |

# TENANT CONSTRUCTION OR ALTERATION APPLICATION
(See Rider "F" for revisions to par. listed below and par. 5)

*APPLICANT MUST READ THE TERMS AND CONDITIONS PRINTED ON THE REVERSE HEREOF*

ALSO SEE ATTACHED RIDER "F"

**PART ONE: Information to be furnished by Applicant (Refer to your lease or permit for required information)**
Permission is hereby requested to perform the following described work on the space occupied by the Applicant

| AT (FACILITY) | PURSUANT TO (LEASE, SPACE PERMIT) NO. | LOCATION (BUILDING # OR AREA) OF SPACE TO BE ALTERED |
|---|---|---|
| WTC | WT-1252 | Two World Trade Center, 98th Floor |

DESCRIPTION OF WORK AND REASON

Installation of new offices on 98th Floor .

| ESTIMATED COST OF WORK $ | ESTIMATED TIME TO COMPLETE (DAYS) | STARTING DATE | COMPLETION DATE |
|---|---|---|---|
| 1,500,000.00 | 90 | 9 / 1 / 88 | 12 / 1 / 88 |

Plans: Prints of each drawing must be submitted with copies of application. Include floor plan and show area affected by proposed work (size 8½" x 11" or larger).

| TITLE OF DRAWING | DRAWING NO. | DATED |
|---|---|---|
| See Attached Drawing List | --- | --- |

NAME & ADDRESS OF CONTRACTOR (IF NOT KNOWN, SUBMIT LATER)
Shimizu America Corporation
805 Third Avenue, 12th Floor
New York, New York 10022

NAME & ADDRESS OF ENGINEER OR ARCHITECT
Buttrick White & Burtis
475 Tenth Avenue
New York, New York 10018

TELEPHONE NO. (212) 967-3333
LICENSE NO. 014896

SEND CORRESPONDENCE TO: (NAME & ADDRESS OF EMPLOYEE IN CHARGE OF WORK)
Mr. Norihiko Shimizu
Shimizu America Corporation
805 Third Avenue
New York, New York 10022
TELEPHONE NO. (212)935-6680

APPLICANT'S NAME (AS IT APPEARS ON LEASE OR PERMIT)
Yamaichi International (America), Inc.

ENGINEER OR ARCHITECT CERTIFICATION

I have supervised the preparation of plans and specifications for the entire work represented herein and hereby certify that they conform to the requirements of the respective enactments, ordinances, resolutions and regulations of the City, town or municipality in regard to construction and maintenance of buildings and structures and in regard to health and fire protection which would be applicable if the Port Authority were a private corporation.

REGISTERED ARCHITECT THEODORE A. BURTIS STATE OF NEW YORK No. 014896

| BY (SIGNATURE OF AUTHORIZED REP.) | TITLE | DATE | SIGNATURE OF LICENSED PROFESSIONAL ENGINEER OR ARCHITECT | DATE |
|---|---|---|---|---|
| Shimizu Hatta | S.V.P | 7/28/88 | Theodore A. Burtis, III | 7/29/88 |

**PART TWO: Prepared by Port Authority and returned to applicant**

The above Application is ☐ Approved ☐ Disapproved. Subject to the following conditions:
The Contractor by signing below agrees to all the terms and conditions on this application and on the reverse side thereof, including #5 indemnifying the Port Authority and further agrees to be bound by all riders and schedules attached to this application.

Signature: _____ 7/27/88 date

Contractor's Name & Address
Shimizu America Corporation
805 Third Avenue,NYC,NY10022 ☐ Continued on Rider "A" etc.

Signature of Officer/Partner: Shimizu Hatta 7/28/88 date

Please advise the undersigned in writing, when this work has been Completed.

The Port Authority of New York and New Jersey

BY

| INSPECTED BY | DATE | TITLE | DATE |
|---|---|---|---|
| | / / | Deputy Director for Physical Facilities, WTD | / / |

PA 2127
1-85

# TENANT ALTERATION REVIEW REQUEST

| ✓ | CHECK APPLICABLE ROUTING | ROOM NO. |
|---|---|---|
| ✓ | Engineering (Q.A.D.) (W/3) | 51E |
| | R. LINN - WTC ED DEP. DIR. PHYS. | 63W |
| | P. MARCHESE - WT PLNG DIV. EXEC. | 62E |
| | L. SANCHEZ " " " | 62E |
| | Control Desk N. NAPOLITANO (WA) | 62E |
| | J. CHENNISI " " " | 62E |
| | E. DALY " " " | 62E |
| | M. GLAVICIC " " " (W/1) | 62E |
| | C. SEMAN " " " (WA) | 62E |
| | C. SERGE WT CONST. DIV. (W/1) | 38N |
| | F. BOYCE WT OPER. (W/1) | 63N |
| | T. CANCELLIERE WT OPER. (W/1) | B2B |
| | Inspection & Safety (Eng. Audit) (W/1) | #43 |
| | R. BENACCHIO WT. OPER. | |
| | A. VACCARO WT CONST DIV. | 38N |
| | G. WENGER DEVELOPMENT DIV. | 63S |
| | M. SINGLETON WT PLNG. DIV. | 62E |
| | CENTRAL FILE | |
| | N. CHAMFRAU RISK MGMT. (W/1) | #43 |

Description of Work:

GENERAL OFFICE CONSTRUCTION
2WTC - 98th FLOOR - PHASE II

AREA LISTED ABOVE HAS BEEN TESTED AND NO ASBESTOS IS PRESENT
ESTIMATED CONSTRUCTION COST IS 1,500,000

FACILITY: 2WTC - 98th FL
APPLICANT'S NAME: YAMAICHI INT'L (AMERICA) INC
APPLICATION NO.: W-4128
DRAWING NO(S). & SEE ATTACHED LIST
CONTRACT NO.: 518.972
DATE: 8.3.88

☒ You are requested to review the attached application and forward your comments to:

☐ Attached are revised drawings. Please review only those items on which exception was previously taken and send your comments to:

| Org. Unit | Room No. | Contact | Ext. |
|---|---|---|---|
| 829 | 62E | N. NAPOLITANO | 466-7003 |

No later than: AUG. 25, 1988

SR. OPERATIONS PLANNING ANALYST
Title

If no reply is received by above date, your concurrence will be assumed unless arrangements have been made for additional time for review.

FOR USE OF REVIEWING DEPARTMENT

*COMMENTS: (Use reverse side if necessary) Indicate next to each comment whether it is a condition or recommendation.*

| * DRAWING NOS. | TITLE | REV. | DATE |
|---|---|---|---|

SEE ATTACHED SHEET

NOTE: THE WRITTEN SPECIFICATIONS SUBMITTED UNDER TAA 4098, WTC 518,964 PHASE I OF THE 98th FLOOR RENOVATION IS APPLICABLE IN ITS ENTIRETY TO THE WORK ABOVE.

THE PORT AUTHORITY OF N.Y. & N.J.
TENANT CONSTRUCTION REVIEW UNIT
RECEIVED
AUG 02 1988
W-4128 ①
ALTERATION APPLICATION

*(Please check applicable box)* NOTE: LETTER LINN TO CORAS TO FOLLOW.

☐ Approved ☐ Approved with Conditions and Recommendations Noted Above ☐ Disapproved with Requirements for Approval Noted Above ☐ Disapproved for Reasons Noted Above

| Signature | Title | Date |
|---|---|---|
| | | |

**BUTTRICK WHITE & BURTIS**

YAMAICHI INTERNATIONAL (AMERICA) INC
98TH FLOOR WORLD TRADE #2 PHASE II
DRAWING LIST
AUGUST 1, 1988

TAA 4128
WTC 578.972

ARCHITECTURAL

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| | | |
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| - | No Drawing M98-4 | August 1, 1988 |
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| E98-5 | Telephone Plan | August 1, 1988 |
| - | No Drawing E98-6 | August 1, 1988 |
| E98-7 | Panel Schedules | August 1, 1988 |

THE PORT AUTHORITY OF N.Y. & N.J.
TENANT CONSTRUCTION REVIEW UNIT
RECEIVED
AUG 02 1988
W-4128 (1)
ALTERATION APPLICATION

PA 531
6-73

**THE PORT AUTHORITY OF NY & NJ**
One World Trade Center, New York, N.Y. 10048

| For Port Authority use only | |
|---|---|
| FACILITY WTC | APP. NO. |
| DATE / / | APPLICANTS NAME |

# TENANT CONSTRUCTION OR ALTERATION APPLICATION
(See Rider "F" for revisions to par. listed below and par. 5)

*APPLICANT MUST READ THE TERMS AND CONDITIONS PRINTED ON THE REVERSE HEREOF*

ALSO SEE ATTACHED RIDER "F"

**PART ONE: Information to be furnished by Applicant (Refer to your lease or permit for required information)**

Permission is hereby requested to perform the following described work on the space occupied by the Applicant

| AT (FACILITY) | PURSUANT TO (LEASE, SPACE PERMIT) NO. | LOCATION (BUILDING # OR AREA) OF SPACE TO BE ALTERED |
|---|---|---|
| WTC | WT-1252 | Two World Trade Center, 98th Floor |

DESCRIPTION OF WORK AND REASON

Installation of new offices on 98th Floor

| ESTIMATED COST OF WORK $ | ESTIMATED TIME TO COMPLETE (DAYS) | STARTING DATE | COMPLETION DATE |
|---|---|---|---|
| 1,500,000.00 | 90 | 9 / 1 / 88 | 12 / 1 / 88 |

Plans: Prints of each drawing must be submitted with copies of application. Include floor plan and show area affected by proposed work (size 8½" x 11" or larger).

| TITLE OF DRAWING | DRAWING NO. | DATED |
|---|---|---|
| See Attached Drawing List | — | — |

| NAME & ADDRESS OF CONTRACTOR (IF NOT KNOWN, SUBMIT LATER) | NAME & ADDRESS OF ENGINEER OR ARCHITECT | TELEPHONE NO. |
|---|---|---|
| Shimizu America Corporation<br>805 Third Avenue, 12th Floor<br>New York, New York 10022 | Buttrick White & Burtis<br>475 Tenth Avenue<br>New York, New York 10018 | (212) 967-3333<br>LICENSE NO.<br>014896 NC |

SEND CORRESPONDENCE TO:
(NAME & ADDRESS OF EMPLOYEE IN CHARGE OF WORK)
Mr. Norihiko Shimizu
Shimizu America Corporation
805 Third Avenue
New York, New York 10022
TELEPHONE NO. (212)935-6680

APPLICANT'S NAME (AS IT APPEARS ON LEASE OR PERMIT)
Yamaichi International (America), Inc.

ENGINEER OR ARCHITECT CERTIFICATION

I have supervised the preparation of plans and specifications for the entire work represented herein and hereby certify that they conform to the requirements of the respective enactments, ordinances, resolutions and regulations of the City, town or municipality in regard to construction and maintenance of buildings and structures and in regard to health and fire protection which would be applicable if the Port Authority were a private corporation.

REGISTERED ARCHITECT THEODORE A. BURTIS JR. NO. 014896 STATE OF NEW YORK

| BY (SIGNATURE OF AUTHORIZED REP.) | TITLE | DATE | SIGNATURE OF LICENSED PROFESSIONAL ENGINEER OR ARCHITECT | DATE |
|---|---|---|---|---|
| Shinsuke Hatta | S.V.P | 7/28/88 | Theodore A. Burtis, F.A.I.A. | 7/29/88 |

**PART TWO: Prepared by Port Authority and returned to applicant**

The above Application is ☐ Approved ☐ Disapproved. Subject to the following conditions:
The Contractor by signing below agrees to all the terms and conditions on this application and on the reverse side thereof, including #5 indemnifying the Port Authority and further agrees to be bound by all riders and schedules attached to this application.

THE PORT AUTHORITY OF N.Y. & N.J.
TENANT CONSTRUCTION REVIEW UNIT
RECEIVED
AUG 02 1988
W-4128
ALTERATION APPLICATION

Signature: _____ 7/28/88 date

Contractor's Name & Address
Shimizu America Corporation
805 Third Avenue, NYC, NY 10022 ☒ Continued on Rider "A" etc.

Signature of Officer/Partner: Shinsuke Hatta 7/28/88 date

Please advise the undersigned in writing, when this work has been Completed.

The Port Authority of New York and New Jersey

BY

| INSPECTED BY | DATE | TITLE | DATE |
|---|---|---|---|
| | / / | Deputy Director for Physical Facilities, WTD | / / |